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PTO/SB/21 (08-00)

Approved for use through 10/31/02. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

Application Number

09/272,911

Filing Date

March 19, 1999

First Named Inventor

KIKUCHI

Group Art Unit

2665

Examiner Name

R. Stevens

Attorney Docket No.

393032006700

RECEIVED

FEB 10 2003

Technology Center 2600

## ENCLOSURES (check all that apply)

- |   |  |   |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form  | <input type="checkbox"/> Assignment Papers<br>(for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                               |
| <input checked="" type="checkbox"/> Charge Deposit Account  | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences           |
| <input checked="" type="checkbox"/> Amendment / Reply   | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Petition  | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declarations  | <input type="checkbox"/> Petition to Convert to a Provisional Application                  | <input type="checkbox"/> Status Letter  |
| <input checked="" type="checkbox"/> Extension of Time Request   | <input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address | <input type="checkbox"/> Other Enclosure(s) (please identify below):                          |
| <input type="checkbox"/> Express Abandonment Request  | <input type="checkbox"/> Terminal Disclaimer   |   |
| <input type="checkbox"/> Supplemental Information Disclosure<br>Statement with Form 1449 and 3 references | <input type="checkbox"/> Request for Refund  |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)   | <input type="checkbox"/> CD, Number of CD(s) _____   |   |
| <input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application                             |  |   |
| <input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53                           |  |   |

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm

or

Individual Name

David T. Yang

Morrison & Foerster LLP

555 West Fifth Street, Suite 3500

Los Angeles, CA 90013

Signature

Date

January 28, 2003

## CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on January 28, 2003.

David T. Yang

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# FEE TRANSMITTAL FOR FY 2003

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	09/272,911
Filing Date	March 19, 1999
First Named Inventor	KIKUCHI
Examiner Name	R. Stevens
Group Art Unit	2665
Attorney Docket No.	393032006700

TOTAL AMOUNT OF PAYMENT

(\$410.00)

**RECEIVED****METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 03-1952  
Deposit Account Name: Morrison & Foerster LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	740	201	370	Utility filing fee	
1002	330	206	165	Design filing fee	
1003	510	207	255	Plant filing fee	
1004	740	208	370	Reissue filing fee	
1005	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$0)

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid	
26	- 28** =	x	= \$0	
Independent Claims	7	- 7** =	x	= \$0
Multiple Dependent			= \$0	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
1202	18	203	9	Claims in excess of 20
1201	84	202	42	Independent claims in excess of 3
1203	280	204	140	Multiple dependent claims, if not paid
1204	84	209	42	**Reissue independent claims over original patent
1205	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$0)

\*\* or number previously paid, if greater; For reissues, see above.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
1051	130	205	65	Surcharge - late filing fee or oath
1052	50	227	25	Surcharge - late provisional filing fee or cover sheet
1053	130	139	130	Non-English specification
1812	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination
1804	920*	112	920*	Requesting publication of SIR prior to Examiner action
1805	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
1251	110	215	55	Extension for reply within first month
1252	410	216	205	Extension for reply within second month
1253	920	217	460	Extension for reply within third month
1254	1,440	218	720	Extension for reply within fourth month
1255	1,960	228	980	Extension for reply within fifth month
1401	320	219	160	Notice of Appeal
1402	320	220	160	Filing a brief in support of an appeal
1403	280	221	140	Request for oral hearing
1451	1,510	138	1,510	Petition to institute a public use proceeding
1452	110	240	55	Petition to revive - unavoidable
1453	1,280	241	640	Petition to revive - unintentional
1501	1,280	242	640	Utility issue fee (or reissue)
1502	460	243	230	Design issue fee
1503	620	244	310	Plant issue fee
1460	130	122	130	Petitions of the Commissioner
1807	50	123	50	Petitions related to provisional applications
1806	180	126	180	Submission of Information Disclosure Stmt
8021	40	581	40	Recording each patent assignment per properties (times number of properties)
1809	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))
1810	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))
1801	740	279	370	Request for Continued Examination (RCE)
1802	900	169	900	Request for expedited examination of a design application

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$410.00)

FEB 10 2003

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**SUBMITTED BY**

Name (Print/Type) David T. Yang

Registration No. (Attorney/Agent)

44,415

**Complete (if applicable)**

Telephone (213) 892-5587

Signature

Date January 28, 2003

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